FOR: BANKS · INSURANCE · BROKER-DEALERS · HEDGE FUNDS · ASSET MANAGERS

AI that survives the SEC examiner's questions.

Enterprise AI substrate for regulated US financial institutions — tamper-evident audit chain, in-perimeter deployment, BYO LLM, SOX + GLBA + Reg SCI-aligned architecture.

> *Every SaaS AI tool triggers a 6-12 month vendor-risk review and lands as a sub-processor in your operational-risk register. Sparcle deploys inside your network — every Bolt tier is self-hosted, so it does not become a sub-processor, and your existing third-party-risk boundary covers it.*

SEC + FINRA examination defensibility

"When the SEC's Division of Examinations asks how an AI tool made a recommendation that touched customer accounts, what do we show them?"

Sparcle: The standalone audit verifier. Every read, every search, every ACL decision, every LLM grounding payload is sealed into a Merkle chain, Ed25519-signed, **cryptographically verifiable by your examiner without Sparcle's cooperation.** Glean / Hebbia / Harvey ship vendor-attested logs — fine for marketing, not for an SEC subpoena.

Customer NPI under GLBA

"Does this AI tool require us to send customer NPI to a third party?"

Sparcle: No. Sparcle runs inside your network. Customer non-public information stays within your GLBA Safeguards Rule perimeter. You do not need to add Sparcle to your vendor-risk register as a sub-processor that handles NPI; **it doesn't handle NPI any more than your internal Postgres server does.**

SOX-controlled finance-system search

"Can our finance team search across SAP S/4HANA, Workday Financial, Concur, Coupa, and our SharePoint policy library — with proper SOX segregation of duties?"

Sparcle: Yes. Connectors for SAP S/4HANA, Workday Financial, Oracle EBS, NetSuite, Concur, Coupa, Anaplan, Workiva are shipped. 4-axis ACL enforces SOX segregation: a controller never sees vendor-master maintenance; an AP clerk never sees the CFO's board materials.

What's shipped today vs what ships in a 90-day financial-services pilot

In-perimeter deployment (your VPC, on-prem, sovereign cloud)	SHIPPED
Sealed Merkle audit chain + standalone verifier (SEC + FINRA examination ready)	SHIPPED
4-axis ACL with `department` + `role-band` for SOX segregation	SHIPPED
Per-tenant CMK via Vault, AWS KMS, or PKCS#11 HSM	SHIPPED
Connectors: S/4HANA · Workday Financial · Oracle EBS · NetSuite · Concur · Coupa · Anaplan · Workiva · Snowflake · Databricks	SHIPPED
Crypto-shred for customer right-to-delete	SHIPPED
Real-time ACL invalidation + end-to-end ingest saga	PILOT
SOC 2 Type II (~12 months in audit cycle with sponsor customer)	NOT HELD

Why financial-services buyers choose us

1. **No new third-party risk review.** Your VPC, Vault, AWS account, and LLM contract are already approved. Sparcle just runs there.
2. **Examiner-defensible audit chain.** When an SEC sweep arrives, you produce a verifiable export — not a vendor-signed letter.
3. **No inference markup.** Glean / Hebbia / Harvey mark up token spend 30-60%. Sparcle uses your LLM contract directly.
4. **Continuity if Sparcle fails.** Your deployment keeps running on your infrastructure, with optional source-code escrow at pilot tier.

> **Recommended deployment:** any self-hosted Bolt tier — **Authorize**, **Backbone**, or **Certify**. All are fully customer-hosted in your VPC / on-prem / sovereign cloud, run on your own LLM contract, and keep Sparcle off your sub-processor register. There is no Sparcle-managed hosting tier; nothing runs on Sparcle infrastructure.

Brief us in 30 minutes. Production pilot in your VPC in 90 days.

Sovereign enterprise AI — inside your perimeter, with your LLM, on your audit trail.

sparcle.app/finance · bolt@sparcle.app · v2 · 2026-07